

In Situ Observation of the Formation of Si Clathrate Ba₈Si₄₆ at High Pressures and High Temperatures

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X-ray diffraction measurements at high pressures and high temperatures revealed that Si clathrate Ba₈Si₄₆ is formed by a solid-phase reaction of an 8:30 molar mixture of SrSi₂-phase BaSi₂ and Si after BaSi₂ undergoes the BaSi₂-to-EuGe₂ and the EuGe₂-to-SrSi₂ transitions. The volume reduction during the formation of Ba₈Si₄₆ is the largest, 7.6%, among the observed transitions. On the other hand, an 8:30 molar mixture of SrSi₂-phase SrSi₂ and Si does not result in the formation of Sr₈Si₄₆ at high pressures and high temperatures; only SrSi₂ transforms from the SrSi₂ phase into the α-ThSi₂ phase, and Si remains in the diamond phase.

Introduction

Type-I Si clathrate, whose general chemical formula is A₈X₄₆, has a characteristic crystal structure, that is, the Na₈Si₄₆-type structure (space group *Pm* $\bar{3}$ *n*, *Z* = 1, Figure 1a).¹ In A₈X₄₆, atoms X form X₂₀ dodecahedra and X₂₄ tetrakaidecahedra, and these polyhedra are linked by sharing faces; atoms A are located in the polyhedra. Because the X₂₀ and X₂₄ polyhedra are isostructural with fullerenes C₂₀ and C₂₄, respectively,² and superconductivity with a relatively high superconducting critical temperature (*T*_C) was observed in alkaline-doped fullerides,³ a search for superconducting Si clathrates has been underway. The first superconducting transition in Si clathrates was observed in (Ba,Na)₈Si₄₆, whose *T*_C is approximately 4 K.⁴ This Si clathrate was synthesized by the thermal decomposition of Na₂BaSi₄ in a vacuum at 773 K. In 2000, a superconducting Si clathrate with a higher *T*_C (8.0 K), Ba₈Si₄₆, was synthesized by a different method from the thermal decomposition, a solid-phase reaction between an 8:30 molar mixture of BaSi₂ and

Si at a pressure of 3 GPa and a temperature of 1073 K.⁵ Further research on the synthesis conditions demonstrated that Ba₈Si₄₆ can be formed from the mixture at pressures above 3 GPa and temperatures above 1073 K.⁶ Although the synthesis conditions of Ba₈Si₄₆ have been clarified, the formation process of Ba₈Si₄₆ at high pressures and high temperatures has not been elucidated yet. BaSi₂, one of the starting materials, has four phases at pressures ranging from 0 to 5 GPa and temperatures ranging from 300 to 1273 K,^{7,8} although another starting material, Si, has only one phase (the diamond phase) in this pressure-temperature range.⁹ The stable phase of BaSi₂ has the BaSi₂-type structure (space group *Pnma*, *Z* = 8, Figure 1b) under ambient conditions.¹⁰ One of the high-pressure, high-temperature phases has the EuGe₂-type structure (space group *P* $\bar{3}$ *m*1, *Z* = 1, Figure 1c),¹¹ and the other has the SrSi₂-type structure (space group *P*4₃2, *Z* = 4, Figure 1d).¹² The crystal structure of the fourth phase, denoted as BaSi₂-IV, is unknown. Because Ba₈Si₄₆ is

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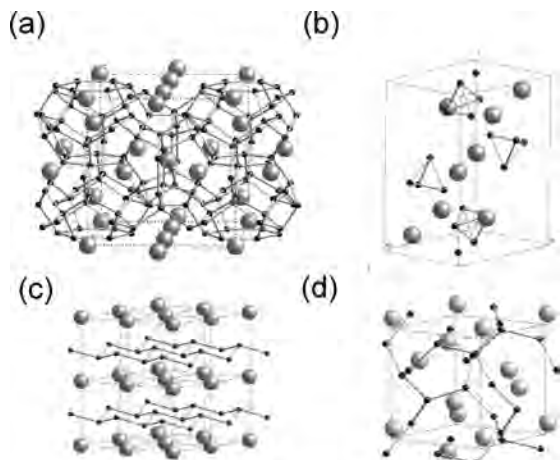


Figure 1. Crystal structure of $\text{Ba}_8\text{Si}_{46}$ and BaSi_2 : (a) $\text{Ba}_8\text{Si}_{46}$, (b) BaSi_2 -phase BaSi_2 , (c) EuGe_2 -phase BaSi_2 , and (d) SrSi_2 -phase BaSi_2 . The large and small spheres correspond to Ba and Si atoms, respectively.

expected to be formed by a solid-phase reaction of Si with one of four phases of BaSi_2 , it would be interesting to see which phase of BaSi_2 reacts with Si and results in the formation of $\text{Ba}_8\text{Si}_{46}$.

In this study, we observed the formation of $\text{Ba}_8\text{Si}_{46}$ from an 8:30 molar mixture of BaSi_2 and Si at high pressures and high temperatures. We also observed the phase behavior of an 8:30 molar mixture of SrSi_2 and Si at high pressures and high temperatures for a comparison.

Experimental Section

The starting material was prepared by an Ar-arc melting of an 8:30 molar mixture of BaSi_2 (nominal purity 98%) and Si (nominal purity 99.9999%). X-ray diffraction measurements at high pressures and high temperatures were performed in the beam line of the TRISTAN accumulation ring (AR-NE5) at the National Laboratory for High Energy Physics (KEK). High pressure was applied using the multianvil high-pressure apparatus MAX80. WC anvils with a square flat-surface size of $6 \times 6 \text{ mm}^2$ were used. A powdered starting material was loaded in the h-BN capsule. The temperature was measured by an alumel–chromel thermocouple attached to the sample capsule. The pressure was evaluated from the lattice parameter of a NaCl internal pressure marker.¹³ Details of the sample assembly have been described elsewhere.⁸ The X-ray diffraction patterns were measured by an energy-dispersive method using synchrotron radiation from the bending magnet. The lattice parameters were obtained by the least-squares fitting of the indexed pattern.

Results and Discussion

Figure 2 exhibits the X-ray diffraction patterns of the 8:30 molar mixture of BaSi_2 and Si at an approximate pressure of 4.3 GPa and various temperatures. The diffraction patterns were measured for 300 s for the sample and 100 s for the pressure marker, respectively, at approximate intervals of 100 K. At room temperature and 4.3 GPa, the sample remains a mixture of BaSi_2 -phase BaSi_2 and Si. When the sample is heated up to 870 K, BaSi_2 undergoes two phase transitions: the BaSi_2 -to- EuGe_2 transition at 770 K and the EuGe_2 -to-

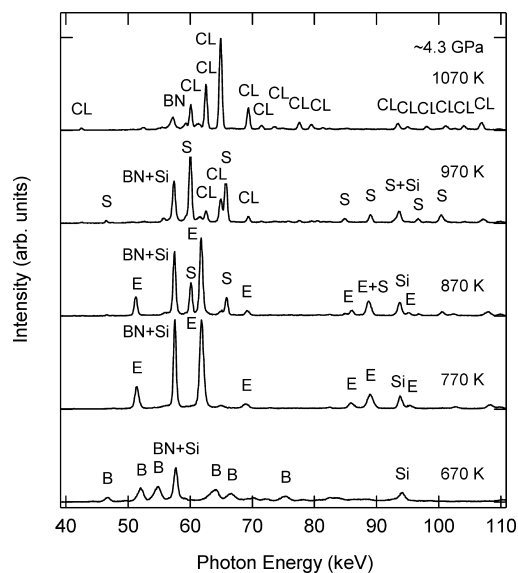


Figure 2. X-ray diffraction patterns of the 8:30 molar mixture of BaSi_2 and Si at an approximate pressure of 4.3 GPa and various temperatures ($2\theta = 4^\circ$). The symbols “Si”, “B”, “E”, “S”, and “CL” represent reflections from Si, BaSi_2 -phase BaSi_2 , EuGe_2 -phase BaSi_2 , SrSi_2 -phase BaSi_2 , and Si clathrate $\text{Ba}_8\text{Si}_{46}$, respectively. The symbol “BN” shows reflections from the h-BN capsule.

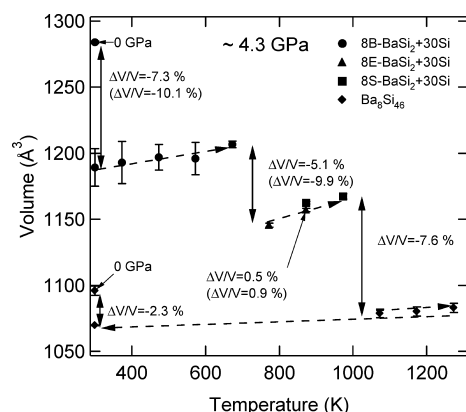


Figure 3. Volume of the 8:30 molar mixture of BaSi_2 and Si and that of $\text{Ba}_8\text{Si}_{46}$ as a function of temperature. The abbreviations “B-,” “E-,” and “S-” stand for the BaSi_2 -phase, the EuGe_2 -phase, and the SrSi_2 -phase, respectively. The broken lines are guides for the eyes.

SrSi_2 transition at 870 K; Si remains in the diamond phase. With further heating up to 970 K, the diffraction peaks that can be assigned to the Si clathrate $\text{Ba}_8\text{Si}_{46}$ appear, which suggests that the formation of $\text{Ba}_8\text{Si}_{46}$ starts at 970 K. The formation of $\text{Ba}_8\text{Si}_{46}$ was completed at 1070 K. These results demonstrate that $\text{Ba}_8\text{Si}_{46}$ is formed by the solid-phase reaction of SrSi_2 -phase BaSi_2 and Si at high pressure and high temperature. The pressure-temperature conditions under which the $\text{Ba}_8\text{Si}_{46}$ formation starts are consistent with those reported previously.⁶

Figure 3 shows the volume of the sample as a function of temperature. In this figure, the volume corresponds to the volume of the 8:30 molar mixture of BaSi_2 and Si, that is, a sum of the 8-fold volume per formula unit of BaSi_2 and the thirty-fold atomic volume of Si, or the volume per formula unit of $\text{Ba}_8\text{Si}_{46}$. The volume change at the transition $\Delta V/V$ is shown in the figure. The number in the parentheses is $\Delta V/V$ of BaSi_2 . The volume change at the formation of $\text{Ba}_8\text{Si}_{46}$

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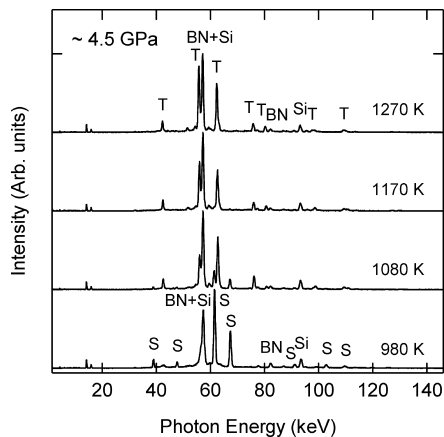


Figure 4. X-ray diffraction patterns of the 8:30 molar mixture of $SrSi_2$ and Si at an approximate pressure of 4.5 GPa and various temperatures ($2\theta = 4^\circ$). The symbols “Si,” “S,” and “T” represent reflections from Si, $SrSi_2$ -phase $SrSi_2$, and α - $ThSi_2$ -phase $SrSi_2$, respectively.

from the mixture of the $SrSi_2$ -phase $BaSi_2$ and Si is the largest (7.6%) among the observed phase transitions. The volume difference between the starting material, the 8:30 molar mixture of the $BaSi_2$ -phase $BaSi_2$ and Si, and Ba_8Si_{46} is large (14.6%) under ambient conditions, which is consistent with a previous report (15%).⁵

The above results demonstrate that Ba_8Si_{46} is formed from the 8:30 molar mixture of $SrSi_2$ -phase $BaSi_2$ and Si. Because $SrSi_2$ has the $SrSi_2$ -type structure under ambient conditions,¹⁴ we examined what happens in an 8:30 molar mixture of $SrSi_2$ -phase $SrSi_2$ and Si at high pressure and high temperature. At an approximate pressure of 4.5 GPa and room temperature, the sample consisted of $SrSi_2$ -phase $SrSi_2$ and Si, as it did under ambient conditions. Figure 4 exhibits the X-ray diffraction patterns of the 8:30 molar mixture of $SrSi_2$ and Si at an approximate pressure of 4.5 GPa and various temperatures. The diffraction patterns were measured for 200 s for the sample and 100 s for the pressure marker, respectively, at approximate intervals of 100 K. When the sample was heated at this pressure, only $SrSi_2$ starts to transform into the α - $ThSi_2$ -phase¹⁵ at 1080 K and complete the transformation at 1170 K. During this structural transition, the diamond phase Si remains the same. $SrSi_2$ does not react with Si up to 1280 K, the highest temperature in this experiment. Thus, the mixture of $SrSi_2$ -phase $SrSi_2$ and Si does not result in the formation of Si clathrate. This result is in contrast to the case of the mixture of $BaSi_2$ and Si in spite of the fact that the crystal structure of the $SrSi_2$ -phase $SrSi_2$ is the same as that of the $SrSi_2$ -phase $BaSi_2$. The failure to form Sr_8Si_{46} from the mixture of $SrSi_2$ and Si at high pressures and high temperatures is consistent with the report by Toulemonde et al.¹⁶

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Ba_8Si_{46} is formed at pressures above 3 GPa and temperatures above 1070 K. In this pressure-temperature region, $BaSi_2$ has the $BaSi_2$ -IV phase.^{7,8} The formation of Ba_8Si_{46} , therefore, suggests that Ba_8Si_{46} is more energetically stable than the 8:30 molar mixture of $BaSi_2$ -IV phase $BaSi_2$ and Si in this pressure-temperature region. On the other hand, the failure to form Sr_8Si_{46} suggests that Sr_8Si_{46} is less energetically stable than the 8:30 molar mixture of α - $ThSi_2$ -phase $SrSi_2$ and Si. The difference in the stability of phases between the Ba–Si and Sr–Si systems can be discussed considering the difference in atomic radii between Ba and Sr atoms and the difference in the crystal structure between $BaSi_2$ -IV-phase $BaSi_2$ and α - $ThSi_2$ -phase $SrSi_2$. To discuss this, it is necessary to determine the crystal structure of $BaSi_2$ -IV-phase $BaSi_2$, which has not been clarified yet. The determination of the crystal structure of $BaSi_2$ -IV-phase $BaSi_2$ will, therefore, deepen the understanding of the phase relationship in the Ba–Si and Sr–Si systems. First-principle calculations of the total energy of $SrSi_2$ -phase $SrSi_2$, α - $ThSi_2$ -phase $SrSi_2$, and Sr_8Si_{46} would also be interesting to identify the pressure-temperature region where Sr_8Si_{46} is stable and thus clarify why Sr_8Si_{46} has not been synthesized yet. Furthermore, an in situ observation of formation of another Ba contained Si clathrate $Ba_{24}Si_{100}$ ¹⁷ also would be interesting for understanding of Ba–Si phase relationship at high pressures.

Conclusions

We found by in situ X-ray diffraction measurements at high pressures and high temperatures that Ba_8Si_{46} is formed by a solid-phase reaction between an 8:30 molar mixture of $SrSi_2$ -phase $BaSi_2$ and Si after $BaSi_2$ undergoes the $BaSi_2$ -to- $EuGe_2$ and $EuGe_2$ -to- $SrSi_2$ transitions. We also observed that Sr_8Si_{46} is not formed from the 8:30 molar mixture of $SrSi_2$ and Si at high pressures and high temperatures in spite of the fact that the $SrSi_2$ -phase $SrSi_2$ is isostructural with $SrSi_2$ -phase $BaSi_2$.

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